

# Suggested Reading List

## Industry Background and Flavor

- Understanding Wall Street by Jeffrey Little & Lucien Rhodes
- Global Bankers by Roy Smith
- The Money Masters by John Train
- The New Money Masters by John Train
- Money Masters of Our Time by John Train
- The Intelligent Investor by Benjamin Graham
- The Visual Investor: How to Spot Market Trends by John J. Murphy
- The Essays of Warren Buffet: Lessons for Corporate America by Warren Buffett
- Buffettology: The Previously Unexplained Techniques That Have Made Warren Buffett the World's Most Famous Investor by Mary Buffett and David Clark
- The Warren Buffett Way: Investment Strategies of the World's Greatest Investor by Robert G. Hagstrom Jr.
- The Alchemy of Finance: Reading the Mind of the Market by George Soros
- Bill Gross on Investing by William H. Gross
- Capital Ideas: The Improbable Origins of Modern Wall Street by Peter L. Bernstein
- Extraordinary Popular Delusions and the Madness of Crowds by Charles MacKay
- Greed and Glory on Wall Street: The Fall of the House of Lehman by Ken Auletta
- The House of Morgan: An American Banking Dynasty and the Rise of Modern Finance by Ron Chernow
- The House of Nomura: The Inside Story of the Legendary Japanese Financial Dynasty by Albert Alletzhauser
- The New Crowd: The Changing of the Jewish Guard on Wall Street by Judith Ramsey Ehrlick & Barry J. Rehfeld
- One Up On Wall Street: How to Use What You Already Know to Make Money in the Market by Peter Lynch
- Beating the Street by Peter Lynch

- Our Crowd: The Great Jewish Families of New York by Stephen Birmingham
- Reminiscences of a Stock Operator by Edwin Lefevre
- Secrets of the Temple: How the Federal Reserve Runs the Country by William Greider
- Soros on Soros: Staying Ahead of the Curve by George Soros, Byron Wien & Krisztina Koenen
- Guerrilla Investing: Winning Strategies for Beating the Wall Street Professional by Peter Siris
- The Battle for Investment Survival by Gerald Loeb
- Money & Power: The History of Business by Howard B. Means
- The Great Game: The Emergence of Wall Street as a World Power 1653-2000 by John Steele Gordon
- Toward Rational Exuberance: The Evolution of the Modern Stock Market by Mark Smith
- 100 Years of Wall Street by Charles R. Geisst, Richard A. Grasso
- A Random Walk Down Wall Street, Seventh Edition by Burton Gordon Malkiel
- Common Stocks and Uncommon Profits and Other Writings by Philip A. Fisher
- The Go-Go Years: The Drama and Crashing Finales of Wall Street's Bullish 60's by John Brooks
- Against the Gods: The Remarkable Story of Risk by Peter Bernstein
- Extraordinary Popular Delusions and the Madness of Crowds and Confusion de Confusiones by Charles MacKay, Martin Fridson, Joseph de la Vega
- Manias, Panics and Crashes: A History of Financial Crises by Charles Kindleberger
- Capital Ideas by Peter Bernstein
- The Big Board by Robert Sobel
- The Chastening by Paul Blustein
- From Here to Economy: A Short Cut to Economic Literacy by Todd G. Buchholz
- After the Trade is Made by David Weiss
- The Crisis of Global Capitalism: Open Society Endangered by George Soros
- Martin Zweig's Winning on Wall Street by Martin Zweig
- The Money Game by Adam Smith
- The Fed: The Inside Story of How the World's Most Powerful Financial Institution Drives the Markets by Martin Mayer
- Maestro: Greenspan's Fed and the American Boom by Bob Woodward
- The Quotations of Chairman Greenspan: Words from the Man Who Can Shake the World by Larry Kahaner

*The following popular works are, of course, exaggerated depictions of the darker side of the industry. However, they do provide some of the flavor of life on "The Street."*

- Barbarians at the Gate by Bryan Burroughs and John Helyar
- Liar's Poker by Michael Lewis
- The Predator's Ball by Connie Bruck
- When Genius Failed by Roger Lowenthal
- F.I.A.S.C.O. – The Inside Story of a Wall Street Trader by Frank Portnoy
- Confessions of a Street Addict by James J. Cramer
- Den Of Thieves by James B. Stuart
- Monkey Business: Swinging Through the Wall Street Jungle by John Rolfe and Peter Troob
- Next by Michael Lewis
- The New Thing by Michael Lewis

### **Broad Industry History**

- Investment Banking: A Tale of Three Cities by Samuel L. Hayes III and Philip M. Hubbard
- A History of Corporate Finance by Jonathan Barron Baskin and Paul J. Miranti, Jr.
- Global Banking by Roy C. Smith and Ingo Walter
- Wall Street Women by Ann B. Fisher
- In the Black: A History of African Americans on Wall Street by Gregory S. Ball
- The Last Partnerships: Inside the Great Wall Street Money Dynasties by Charles R. Giesst
- The City of London, vols 1-4 by David Kynaston
- The Rise and Fall of the Merchant Banks by Erik Banks
- The London Stock Exchange: A History by Ranald Michie
- The Death of Gentlemanly Capitalsim: The Rise and Fall of London's Investment Banks by Philip Augar

## Analytical and Reference

### Periodicals

- Wall Street Journals (daily, Monday through Friday)
- Barron's (weekly publication)

### Corporate Finance

- Analysis for Financial Management by Robert Higgins
- Brealey & Myers on Corporate Finance: Capital Investment and Valuation by Richard A. Brealey and Stewart C. Myers
- Financial Accounting: An Introduction to Concepts, Methods and Uses by Clyde P. Stickney and Roman L. Weil

### General

- Barron's Dictionary of Finance and Investment Terms by John Downes & Jordan Elliot Goodman
- The Intelligent Investor by Benjamin Graham
- International Economics: Theory and Policy by Paul R. Krugman
- Wall Street Journal Guide to Understanding Money and Investing by Kenneth M. Morris
- The Irwin Guide to Using the Wall Street Journal by Michael B. Lehman
- The Financial Times Guide to Using the Financial Pages by Romesh Vaitilingam
- The Atlas of Economic Indicators: A Visual Guide to Market Forces and the Federal Reserve by W. Stansbury Carnes and Stephen D. Slifer
- Wall Street Words: An Essential A to Z Guide for Today's Investor by David Logan Scott

### FICC & Equities

- Bond Book: Everything Investors Need to Know About Treasuries, Municipals, GNMMs, Corporates, Zeros, Bond Funds, Money Market Funds, and More by Annette Thau
- The Bundesbank by David Marsh
- Controlling and Managing Interest Rate Risk by Anthony G. Cornyn and Robert A. Klein
- The Handbook of Fixed Income Securities Edited by Frank J. Fabozzi
- The Money Market by Marcia Stigum
- Money Market Bond Calculations by Marcia Stigum
- Pioneering Portfolio Management: An Unconventional Approach to Institutional Investment by David F. Swensen

## Options/Derivatives

- [How to Trade Options](#) by Jon Najarian
- [Options as a Strategic Investment](#) by Lawrence McMillan
- [Options: Essential Concepts and Trading Strategies](#) by The Options Institute
- [Options for the Stock Investor: How Any Investor Can Use Options to Enhance & Protect Their Return](#) by James B. Bittman
- [Trading Index Options](#) by James B. Bittman
- [All About Options: The Easy Way to Get Started](#) by Thomas A. McCafferty
- [How the Options Market Works](#) by Joseph A. Walker
- [Mastering Derivative Markets: A Step-by-Step Guide to the Products, Application & Risks](#) by Francesca Taylor
- [McMillan on Options](#) by Lawrence G. McMillan
- [The New Financial Instruments](#) by Julian Walmsley
- [Options, Futures and Other Derivatives](#) by John C. Hull

## IMD

- [Investment Analysis and Portfolio Management](#) by Reilly Brown
- [Goldman Sachs. The Culture of Success](#) by Lisa Endlich
- [Storyselling for Financial Advisors](#) by Mitch Anthony
- [Selling with Emotional Intelligence](#) by Mitch Anthony



## Written About, By or For Money Managers and Traders

- [Market Wizards: Interviews with Top Traders](#) by Jack D. Schwager
- [The New Market Wizards: Conversations with America's Top Traders](#) by Jack D. Schwager
- [Stock Market Wizards: Interviews with America's Top Stock Traders](#) by Jack D. Schwager
- [Trader Vic: Methods of a Wall Street Master](#) by Victor Sperandeo
- [Trader Vic II: Principles of Professional Speculation](#) by Victor Sperandeo
- [Exceptional Trading: The Mind Game](#) by Ruth Barrons Roosevelt
- [Trading to Win: The Psychology of Mastering the Markets](#) by Avi Kier
- [The Disciplined Trader: Developing Winning Attitudes](#) by Mark Douglas
- [Stan Weinstein's Secrets for Profiting in Bull and Bear Markets](#) by Stan Weinstein
- [Trading in the Zone: Master the Market with Confidence, Discipline & A Winning Attitude](#) by Mark Douglas and Thom Hartle
- [Trading in the Zone: Maximizing Performance with Focus and Discipline](#) by Ari Kiev
- [Trading with Crowd Psychology](#) by Carl Gyllenram
- [Pit Bull: Lessons from Wall Street's Champion Traders](#) by Martin S. Schwartz et al
- [The Master Swing Trader: Tools and Techniques to Profit from Outstanding Short-Term Trading Opportunities](#) by Alan S. Farley
- [How to Trade in Stocks](#) by Jesse Livermore
- [The Market Maker's Edge: Day Trading Tactics of a Wall Street Insider](#) by Josh Lukeman
- [The Super Traders: Secrets & Successes of Wall Street's Best & Brightest](#) by Alan Rubenfeld
- [A Zebra in Lion Country](#) by Ralph Wanger with Everett Mattlin
- [Technical Analysis of the Financial Markets: A Comprehensive Guide to Trading Methods and Applications](#) by John J. Murphy
- [Hit and Run Trading: The Short-Term Stock Traders' Bible](#) by Jeff Cooper
- [Hit and Run Trading II: Capturing Explosive Short-Term Moves in Stocks](#) by Jeff Cooper
- [Street Smarts: High Profitability Short-Term Trading Strategies](#) by Laurence A. Connors and Linda Bradford Raschke
- [The 5 Day Momentum Method](#) by Jeff Cooper
- [The Real Holy Grail: Money Management](#) by Eddie Kwong
- [Trading Connors VIX Reversals](#) by Laurence A. Connors and Gregory Che

## Written by Current or Former Goldman Sachs Employees

- The Five Great Myths about China and the World by Jonathan Anderson and Fred Hu; PPP Company Limited; 2003
- Exploring General Equilibrium by Fischer Black; MIT Press; 1995
- Business Cycles and Equilibrium by Fischer Black; Blackwell Publishers; 1991
- Managing Currency Risk by Fischer Black; Association for Investment Management & Research; 1989
- Old Girls' Network: Inside Advice for Women Building in a Man's World by Connie Duckworth; Coming in July, 2003
- Goldman Sachs: The Culture of Success by Lisa Endlich; Knopf; 1999
- Beginning the Journey: China, the United States, and the WTO by Robert Hormats; Council on Foreign Relations Press; 2001
- Global Aging and Financial Markets: Hard Landings Ahead? By Robert Hormats; Center for Strategic and International Studies; 2002
- The Boundaryless Organization: Breaking the Chains of Organization Structure by Steve Kerr; Jossey-Bass; 1998
- The GE Work-Out: How to Implement GE's Revolutionary Method for Busting Bureaucracy & Attacking Organizational Problems – Fast by Steve Kerr; McGraw-Hill Trade; 2002
- The Practice of Risk Management by Bob Litterman; Euromoney Publications PLC, 1998
- Foundations for Financial Economics by Bob Litzenberger and Chi-fu Hwang Prentice Hall; 1998
- Markets, Mobs, and Mayhem: A Modern Look at the Madness of Crowds by Robert Menschel; John Wiley & Sons; 2002
- Adam Smith and the Origins of American Enterprise by Roy C. Smith; St. Martin's Press; 2002
- The Wealth Creators: The Rise of Today's New Rich and Super Rich by Roy C. Smith; St. Martin's Press; 2001
- The Global Bankers by Roy C. Smith; BeardBooks, Incorporated; 2000
- High Finance in the Euro-Zone: Competing in the New European Capital Market by Roy C. Smith; Pearson Education; 2000
- The Money Wars: The Rise and Fall of the Great Buyout Boom of the 1980s by Roy C. Smith; BeardBooks, Incorporated, 2000
- Cases and Readings in Markets, Ethics, and Law by Roy C. Smith; Simon & Schuster Custom Publishing; 1996
- Street Smarts: Linking Professional Conduct with Shareholder Value in the Securities Industry by Roy C. Smith; Harvard Business School Publishing; 1997

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- Global Banking by Roy C. Smith; Oxford University Press; 1995
  - Comeback: The Restoration of American Banking Power in the New World Economy by Roy C. Smith; Harvard Business School Publishing; 1993
  - Securities Markets in the 1980s: The New Regime, 1979-1984 by Barrie A. Wigmore; Oxford University Press; 1997
  - Crash and Aftermath: A History of Securities Markets in the United States, 1929-1933 (Contributions in Economics and Economic History, No 58) by Barrie A. Wigmore; Greenwood Publishing Group; 1986

